

**KEMENTERIAN SAINS,
TEKNOLOGI DAN INOVASI**
MINISTRY OF SCIENCE, TECHNOLOGY AND INNOVATION

MOSTI RESEARCH & DEVELOPMENT FUND GUIDELINE FOR APPLICANTS

(23 April 2020)

**Fund Division
Ministry of Science, Technology and Innovation
Level 4, Block C4, Parcel C
Federal Government Administrative Centre 62662 Putrajaya
Tel: 603 – 8885 8393/8324/8367/8704/8322
Fax: 603 – 8888 7710**

MOSTI RESEARCH & DEVELOPMENT FUND GUIDELINE HISTORY

No.	Amendment	Description of Amendment	Effective Date
1.	-	Initial Publication	18 January 2019
2.	Table 2: Scope of Funding for MESTECC R&D Fund – Market Testing and Evaluation	Addition of items funded by MESTECC: <ul style="list-style-type: none"> • Market validation cost up to RM15,000.00 	7 March 2019
3.	8.3 Items not listed under the scope of funding may be given consideration based on special approval.	Item 8.3 is taken out from the guideline.	
4.	7. QUANTUM AND FUNDING	7.1 ...Quantum contribution by the applicant is subject to MESTEC's approval. 7.2 MESTECC will fund at maximum 90% of total project cost.	25 March 2019
5.	3. Priority Area	Amendment to the clause 3.1 with the new priority area	20 December 2019
6.	Appendix 1	Amendment to the Appendix 1 with the new priority area	
7.	Change of Ministry's name	Change of Ministry's name from Ministry of Science, Technology, Environment and Climate Change (MOSTI) to Ministry of Science, Technology and Innovation (MOSTI)	23 April 2020

MOSTI reserve the right to modify these guideline at any time without notice

MOSTI RESEARCH & DEVELOPMENT FUND GUIDELINE FOR APPLICANTS

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1. INTRODUCTION

The MOSTI Research & Development Fund (MOSTI R&D Fund) is the government's initiatives which is open to businesses and researchers interested in obtaining fund to carry out projects for economic growth and societal benefit.

Proposed projects must be at least at Proof of Concept (POC) level; it must be well-designed, scientifically valid, and competitive with current technologies and research works. The project output shall be new products, processes or systems by which value can be created for customers, businesses and society.

2. OBJECTIVES

2.1 The aim of the MOSTI R&D Fund are:

- 2.1.1 to undertake experimental development towards commercialisation by reducing valley of death; and
- 2.1.2 to stimulate the growth and increase capability and capacity from cross collaboration between Industry, Malaysian Government Research Institutes (GRI) and Institutions of Higher Learning (IHL).

3. PRIORITY AREAS

3.1 The proposed projects must be within the framework of the priority areas as follows and the details as stated in **Appendix 1**:

- 3.1.1 Sustainable Environment & Biodiversity;
- 3.1.2 Water & Food Security;
- 3.1.3 Healthcare; and
- 3.1.4 Advanced Manufacturing.

3.2 Project proposal related to enforcement of security and defence (police and military) will not be funded under this scheme.

4. ELIGIBLE APPLICANT

4.1 The fund is open to **Small and Medium Companies in collaboration with Government Research Institutes (GRI), Government Science, Technology and Innovation Organisation (Government STI**

organisation) and Public and Private Institutes of Higher Learning (IHL).

4.1.1 Small and Medium Companies refer to companies registered with the following bodies:

- i. Companies Commission of Malaysia (SSM) either under Registration of Company Act (1965) or Limited Liability Partnerships (LLP) Act 2012; or
- ii. Respective authorities or district offices in Sabah and Sarawak; or
- iii. Respective statutory bodies for professional service providers.

4.1.2 Government STI organisation is a government organisation involved in R&D activities and other services related to STI in Malaysia.

4.2 Definition of small and medium companies is as shown in Table 1.

Table 1: Definition of Small and Medium Companies by Size of Operation

Category	Small Companies	Medium Companies
Manufacturing	<ul style="list-style-type: none"> • Sales turnover from RM300,000 to less than RM15 mil; or • employees from 5 to less than 75 	<ul style="list-style-type: none"> • Sales turnover from RM15 mil to not exceeding RM50 mil; or • employees from 75 to not exceeding 200
Services and Other Sectors	<ul style="list-style-type: none"> • Sales turnover from RM300,000 to less than RM3 mil; or • employees from 5 to less than 30 	<ul style="list-style-type: none"> • Sales turnover from RM3 mil to not exceeding RM20 mil ; or • employees from 30 to not exceeding 75

Source: SME Corporation Malaysia

4.3 Proposals must include collaboration between SME and GRI/Government STI Organisation/IHL.

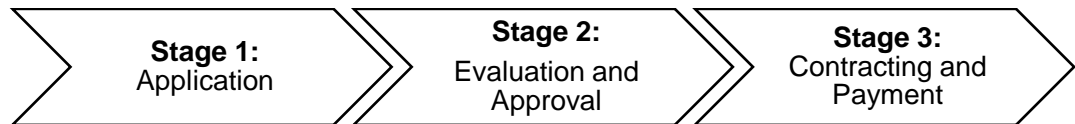
5. ELIGIBILITY CRITERIA

- 5.1 All categories of companies must have a minimum of **51% equity held by Malaysians**.
- 5.2 Companies must be in **operation for at least 2 years** from date of application. Companies shall provide audited financial report as proof. Start-up Company can be considered on case by case basis.
- 5.3 None of the **company directors or project team members** have been convicted of any fraudulent activities or the company has been declared bankrupt, under liquidation or placed under receivership.
- 5.4 Only **Malaysian citizens can apply and lead the project** but can include international organisations or expatriates working in any of the organisations mentioned above as part of their project team.
- 5.5 **Researchers** working under **contract** with SME, GRIs, STI and IHLs must ensure that their **employment contract is still valid during the project duration**.
- 5.6 The project team should consist of members who are qualified and competent on the technical aspects of the whole project. Each team member must provide a curriculum vitae clearly stating their previous research and highlighting their experience and achievements.
- 5.7 Applicants are allowed to **lead only one project at any time**.
- 5.8 Projects must be **conducted in Malaysia**.
- 5.9 The proposed project must contain elements of Experimental Development **leading to commercialisation**.
- 5.10 The following are **not eligible** for funding under the MOSTI R&D Fund:
 - 5.10.1 Works already undertaken or under the responsibility/portfolio of certain Ministries, Departments or Agencies other than MOSTI;
 - 5.10.2 Application from Research Institutes that have their own internal research funding such as CESS Fund; and

5.10.3 Applicant had received MOSTI fund and breached the project agreement.

6. APPLICATION PROCESS

6.1 There are three (3) stages to the MOSTI R&D Fund application as shown below:



6.2 **Stage 1: Application** process are as follows:

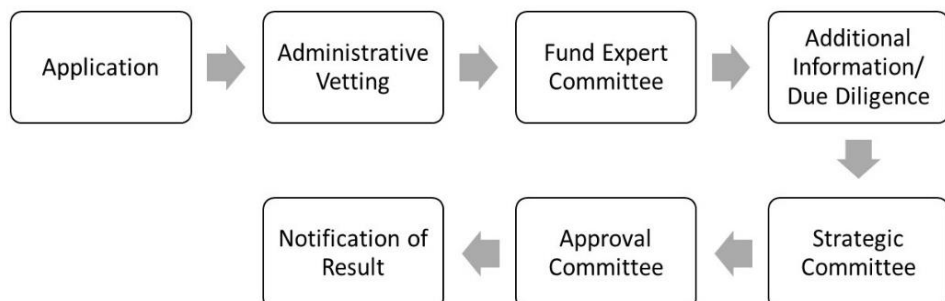
- 6.2.1 The application starts with **submission of the full paper proposal**.
- 6.2.2 Full proposal must be submitted through the online system at <https://edana.mosti.gov.my>. Applicants and collaborators must provide document as listed in **Appendix 2**.
- 6.2.3 In the online application, applicant needs to provide a concise **summary of their project** which shall answer the following questions:
 - 6.2.3.1 What are the problems you are solving?
 - 6.2.3.2 How to solve the problem?
 - 6.2.3.3 Who will benefit from your solution?
 - 6.2.3.4 What are the anticipated outcomes?
 - 6.2.3.5 What are the potential impacts?
- 6.2.4 In the application form, applicant needs to provide **proof of concept** as the evidence that verifies the concept/theories/ideas that can be applied successfully in the real-world operation.
- 6.2.5 The applicants also need to describe the **MOSTI R&D Fund Business Model** in the application form as listed in **Appendix 3**.
- 6.2.6 The proposal submitted will then be vetted by the Secretariat to ascertain whether the application and documents submitted fulfil the

basic requirement. Incomplete or non-eligible proposal will be returned to the applicant.

6.3 **Stage 2: Evaluation** process is as follows:

- 6.3.1 The complete paper will be **reviewed** by the Fund Expert Committee (**FEC**) for elements of their commercial potential and technical aspect.
- 6.3.2 **Applicants** will be invited to **present (pitch)** their full paper proposal to the FEC. The applicant may be required to amend or revise the full paper to improve the proposal. In some instances, a **due diligence may be required** to be conducted after the evaluation process for verification purposes.
- 6.3.3 Once the due diligence has been carried out, **results** will be **assessed** by the Strategic Committee before presenting to the **Approval Committee**.
- 6.3.4 All applicants shall be notified officially via eDANA system or email. The successful applicants shall accept or decline the offer via eDANA system or email. Decisions made by the Approval Committee is **FINAL** and **any appeal on the results will not be entertained**.
- 6.3.5 The overall application and evaluation process including notification of the results will take **60 days** after all responses and information have been received from the applicant. The application process work flow is shown in Figure 3.

Figure 1: Application process work flow



6.4 **Stage 3: Contracting and Payment Process** are as follows:

- 6.4.1 Fund recipients are required to submit letter of acceptance upon receiving the offer letter from MOSTI. Heads of the fund recipient companies are required to sign the Project Agreement and submit bank information (companies are required to open a designated bank account solely for the purpose of the project) to MOSTI.
- 6.4.2 The signed agreement will then be signed by MOSTI's top management and the agreement will be sent back to the fund recipient for stamping.
- 6.4.3 Activity 6.4.1 and 6.4.2 are expected to be completed within **30 days**. Once the Secretariat has received the stamped agreement, the first payment to the fund recipient will be processed.
- 6.4.4 Memorandum of Understanding/ Letter of Intent between collaborating Institutions **must accompany the application**. However, should the grant be approved, an **agreement between the collaborating parties** stating the respective scope of work, ownership of IP and all equipment purchased during the tenure of the project must be submitted to the Secretariat **within 30 days** from the date of the offer letter, failing which MOSTI has the right to revoke the approval.

7. QUANTUM AND DURATION OF FUNDING

- 7.1 MOSTI would co-fund the project based on merits of each application, not exceeding the quantum on RM3,000,000.00. Quantum contribution by the applicant is subject to MOSTI's approval.
- 7.2 MOSTI will fund at maximum 90% of total project cost.
- 7.3 Successful application will be funded for maximum project duration of 24 months.

8. SCOPE OF FUNDING

- 8.4 The scope of funding for MOSTI R&D Fund are as stated in the Table 2:

Table 2: Scope of Funding for MOSTI R&D Fund

SCOPE	FUNDED BY MOSTI	FUNDED BY APPLICANT/ ENTITY	NOTES
Pilot plant/ prototype	<ul style="list-style-type: none"> • Equipment and supporting infrastructure directly related to the pilot plant or up-scaling prototype • Engineering and design activities (man-hour) • Rental/ Minor repair of equipment 	<ul style="list-style-type: none"> • Capital asset such as land, building, vehicle and furniture • Rental of space, land, building • Lab apparatus • Equipment maintenance • Personal computer, laptop, printer, scanners, toner, etc. 	Not more than 40% of fund approved funding by MOSTI
Pre-clinical testing/ clinical testing/ field trials/ stress test/ user acceptance test	<ul style="list-style-type: none"> • Screening test • Sample testing • Payment for volunteers/subjects • Data analysis (man-hour) - 20% from total approved amount 	<ul style="list-style-type: none"> • Overhead cost (bills, travel, transport) • Rental of facility 	
Intellectual Properties (IP)	<ul style="list-style-type: none"> • For registration in Malaysia only, inclusive of drafting services • IP resulting from the project <ul style="list-style-type: none"> i) Patent ii) Industrial Design • IP Incentive 	<ul style="list-style-type: none"> • Maintenance • Patent Cooperation Treaty (PCT) 	
Market testing and evaluation	<ul style="list-style-type: none"> • Market Sample Development Cost • Data analysis (man-hour) • Market validation cost up to RM15,000 	<ul style="list-style-type: none"> • Incentive for respondents • Facility rental and exhibition fee 	<ul style="list-style-type: none"> • Not more than 10% of fund approved funding by MOSTI

SCOPE	FUNDED BY MOSTI	FUNDED BY APPLICANT/ ENTITY	NOTES
	<ul style="list-style-type: none"> • Payment for enumerators (charge/sample) 		<ul style="list-style-type: none"> • Sample size should follow the statistical standard
Regulatory and standards compliance	<ul style="list-style-type: none"> • Registration of certification • Standard compliance (local/international) • Product testing for certification • GMP, HALAL & HACCP 	<ul style="list-style-type: none"> • Training 	
Special Services	<ul style="list-style-type: none"> • Consultancy (agreement / letter of intent must be submitted together with the project proposal, details of the consultancy to be stated) • Consultant for market testing and evaluation 	<ul style="list-style-type: none"> • Production outsourcing • Travelling and transportation • Organizing meeting • Subscription to journals • Conference fee 	<ul style="list-style-type: none"> • Not more than 20% of total fund approved funding by MOSTI • Companies applying budget for special service (Consultant for market testing and evaluation) are not eligible to apply for budget under market testing and evaluation scope

SCOPE	FUNDED BY MOSTI	FUNDED BY APPLICANT/ ENTITY	NOTES
Raw materials/ consumables directly related to the project	<ul style="list-style-type: none"> • Raw material • Consumable 	<ul style="list-style-type: none"> • Product and packaging design • Brochure • Advertisement • Web design and development • Product launching • Stationery such as paper, books, etc 	Not more than 20% of total fund approved funding by MOSTI
Administration	<ul style="list-style-type: none"> • One registered Research Officer (RO) only 	<ul style="list-style-type: none"> • Management fees • Allowance/bonus for management and technical staff • Office rental • Utilities such as telephone, broadband, water and electricity bill 	<ul style="list-style-type: none"> • Maximum RM2,500 per month inclusive of wages, allowances and SOCSO / EPF • For IHL/ GRI collaborator only
Technology/ IP Acquisition	<ul style="list-style-type: none"> • Local and foreign • Must be further enhanced • Must provide acquisition agreement / details of the technology & IP acquired • Training related to technology transfer conducted locally 		Not more than 50% of total fund approved funding by MOSTI

8.5 Companies are allowed to bare expenditure of their collaborator for items as stated in Table 3:

Table 3: Eligible Scope of Funding for Collaborator

SCOPE	NOTES
Pilot Plant/ Equipment	Up to 20% of fund approved funding by MOSTI
<ul style="list-style-type: none"> Rental/ Minor repair of equipment 	
Pre-clinical testing/ clinical testing/ field trials/ stress test/ user acceptance test	
<ul style="list-style-type: none"> Sample Testing 	
Raw materials/ consumables directly related to the project	

9. EVALUATION CRITERIA

The criteria used for the evaluation right from the beginning will consider the following aspects as in Figure 2.

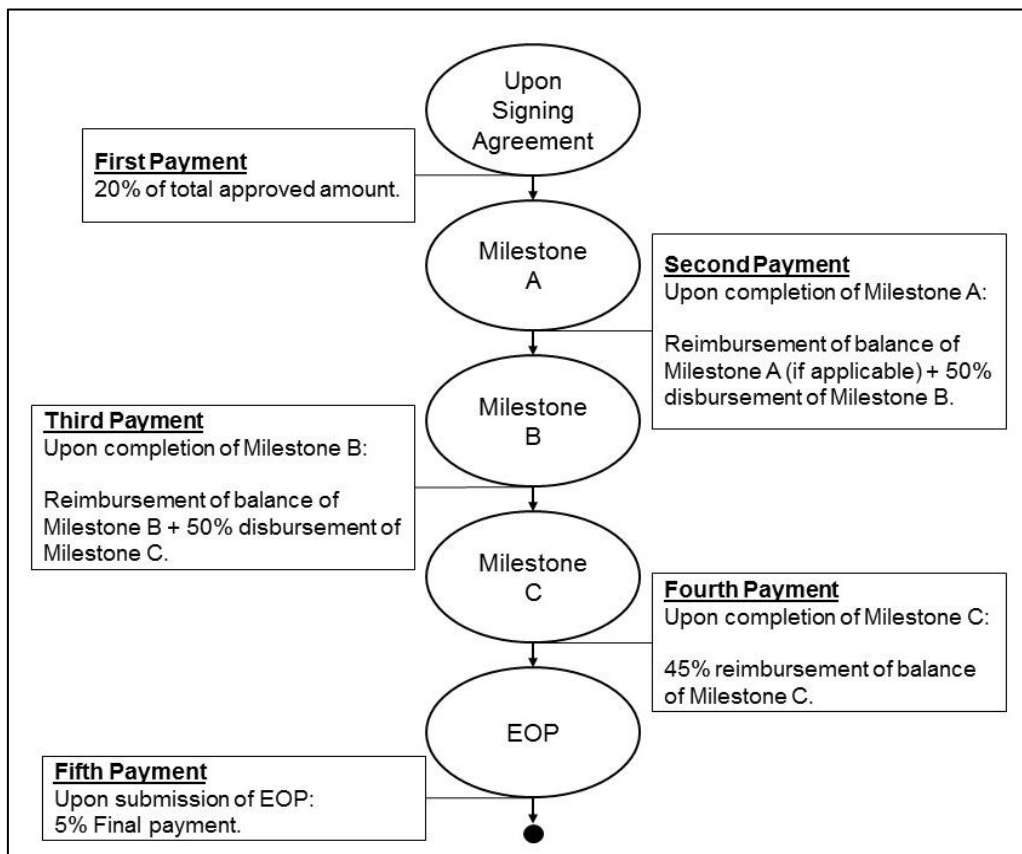
Figure 2: MOSTI R&D Fund's Evaluation Criteria

Commercial i. Competitive advantages ii. Market potential and demand iii. Appropriateness of time to market iv. Sustainability v. Diffusion vi. Cost Effectiveness vii. Marketing Strategy / Business Model viii. Standard and Certification (if applicable)		Technical i. Proof of concept ii. Uniqueness / Innovativeness / Inventiveness of technology iii. Viability of project objectives & Appropriateness of methodology iv. Scalable Potential/Manufacturability v. Regulatory and Standard compliance		
Social Impact i. Affordability ii. Creation of Skill Employment and Knowledge Worker iii. Problem Solving iv. Improvement of safety v. Conducive work and living environment	Economic Impact i. Cost reduction ii. Optimum consumption of resources iii. Export potential iv. Increasing productivity and quality of product/services	Environmental Impact i. Ecological/Carb on Footprint ii. Circular Economy iii. Compliance with environment quality act iv. Optimise usage of resources	Financial i. Potential to generate return on investment ii. Value for money iii. Financial Sustainability	Management i. Professional qualification / Experience of project leader & Team Member ii. Relevancy of key milestones iii. Risk Management

10. PAYMENT

- 10.1 The payment mode of approved application will be based on following mechanism as shown in Figure 3.
- 10.2 The initial payment will be paid after signing of agreement. Subsequent payment will be based on Schedule B of project agreement.
- 10.3 The due diligence will be carried out after the achievement of the first milestone to evaluate the viability of the project.
- 10.4 The Government has the absolute discretion for the review of the grant approval or the aggregate amount to be paid to the project.

Figure 3: Payment Mode of Approved Application



11. PROJECT MONITORING

- 11.1 The fund recipient is required to **submit periodic reports based on milestone** (technical and audited financial reports) and documents as specified in the MOSTI R&D Fund Agreement. If the project shows non-

compliance to the agreement and non-adherence to timelines, MOSTI reserves the right to suspend or withdraw the funding.

- 11.2 The monitoring activities shall include **site visits** and meetings to assess progress of the project.
- 11.3 **Payment** will be made based on satisfactory performance of appropriate milestones in accordance with the terms and conditions as set out in the project agreement.
- 11.4 Project shall not begin until the project agreement has been signed by both parties and document stamped. Cost incurred for all activities prior to signing of the agreement is not claimable and not considered as part of project costs.
- 11.5 **End of Project Report (EOP) and audited financial report** shall be **submitted within three (3) months** after project completion. All output of the project must acknowledge MOSTI's contribution as a fund provider. The End of Project Report shall have the following information:
 - 11.5.1 Deliverables and direct outputs of the project;
 - 11.5.2 Achievement of the original project objectives;
 - 11.5.3 Commercialisation/ technology transfer plan;
 - 11.5.4 Benefits of the project;
 - 11.5.5 National impacts of the project; and
 - 11.5.6 List of equipment/facilities purchased.
- 11.6 MOSTI reserves the right to request for periodic information on the progress or to invite for seminar presentation and exhibition or to conduct site visits even after the project has been completed.
- 11.7 All variation is subjected to MOSTI's approval. The fund recipient needs to **submit an application in to MOSTI for approval before the variations are made.**

12. OWNERSHIP AND USE OF R&D EQUIPMENT

- 12.1 All R&D equipment purchased under the grant must be recorded and tagged with the project number for monitoring and verification purposes. The equipment belongs to the fund recipient and the maintenance of the equipment is under the responsibility of the fund recipient once the project has been completed. However, such equipment are not for the sole use of the fund recipient, and must be made available to other research organisations as and when the need arises.
- 12.2 Equipment purchased using MOSTI R&D Fund shall not be sold and transferred within 2 years after project completed.

13. INTELLECTUAL PROPERTY RIGHTS

- 13.1 The end products developed under the MOSTI R&D Fund are **encouraged to be registered under Intellectual Property laws and Regulations (if necessary)**.
- 13.2 The IP rights of the project shall be **wholly owned by the recipient** as specified in the MOSTI R&D Fund Agreement.
- 13.3 All Intellectual Property derived under MOSTI R&D Fund may be transferred to other party/parties if the project has been completed and all ownership of Intellectual Property has been granted to the recipient, subject to prior approval from MOSTI.
- 13.4 Any provisional activities using the output of the project should acknowledge MOSTI as the fund provider.

14. INCENTIVE FOR INTELLECTUAL PROPERTIES

- 14.1 The applicant may apply for the following incentives after the project completion, provided the **allocation for the incentive is stated during grant application**:
- 14.1.1 Incentive for **disclosure** of the Project Intellectual Property: **RM500.00**;
- 14.1.2 Incentive for **filing** of patent of the Project Intellectual Property: **RM5,000.00**; and

14.1.3 Incentive for **granting** of patent Project Intellectual Property:
RM10,000.00.

Payment of this incentive shall be on a one-off basis.

14.2 The organisation shall set aside and pay:

14.2.1 The incentive for disclosure of the Project Intellectual Property upon disclosure of the Project Intellectual Property;

14.2.2 The incentive for filing of patent of the Project Intellectual Property upon receipt of the proof of patent filing of the Project Intellectual Property; and

14.2.3 The incentive for granting of patent Project Intellectual Property upon receipt of the proof of patent granted of the Project Intellectual Property.

14.3 The grant recipient must disclose to MOSTI in writing, of the existence of the Project Intellectual Property not later than 60 days from the Project Completion Date.

15. TERMINATION

15.1 MOSTI reserves the right to withdraw the grant in the event of the following:

15.1.1 Any false information provided by the recipient.

15.1.2 Changes in scope of the project without the prior written consent of MOSTI;

15.1.3 Change of project leader without the prior written consent of MOSTI;

15.1.4 Any misuse of grants;

15.1.5 Achievement / progress are not as specified in the Agreement of the Fund; and

15.1.6 Any breach of the agreement.

15.2 Upon termination by MOSTI, recipients are required to pay back the amount of fund that has been disbursed.

- 15.3 MOSTI reserves the right, at any time, to review, suspend or withdraw approval of any grant or payment if such measures are deemed necessary; and
- 15.4 MOSTI reserves the right to make a claim against the recipient for any losses incurred as a result of any default by the recipient as specified in the agreement.

16. EXPENDITURE STATEMENTS AND UNEXPENDED GRANT

- 16.1 MOSTI reserves the right to request the grant recipient to submit a statement of expenditure at any time during the course of a grant, or to provide supplementary information in support of an interim or final expenditure statement.
- 16.2 The fund recipient must return the unexpended grant (disbursement mode) to MOSTI **within three (3) months after the completion of the project. For terminated projects, fund recipient shall return the amount disbursed to MOSTI within one (1) month from date of termination notice.**

17. MALAYSIAN CODE OF RESPONSIBLE CONDUCT IN RESEARCH

Everyone involved in the project shall comply with the principles and practices as stated in the Malaysian Code of Responsible Conduct in Research.

18. ETHICAL APPROVAL, INSTITUTIONAL BIOSAFETY COMMITTEE (IBC) APPROVAL/NOTIFICATION AND COMPLIANCE TO OTHER RELATED REGULATIONS

- 18.1 Project Leader must obtain and furnish a copy **of approval from** relevant authorities when necessary.
- 18.2 For a project which requires **tests on human beings or animals**, the Project Leader must obtain and furnish a copy of **ethical clearance**.
- 18.3 For a project involving **living modified organisms (LMOs)**, the Project Leader must obtain and furnish a copy of **clearance by the appropriate/ relevant Institutional Biosafety Committee** and also a submission of notification or submission of application for approval to the National Biosafety Board.

18.2 Information on the use of biological resources and associated traditional knowledge must also be furnished by filling in the form as in **Appendix 4**.

Appendix 1

MOSTI R&D Fund's Priority Areas

Sustainable Environment & Biodiversity	Water & Food Security	Healthcare	Advanced Manufacturing
<ul style="list-style-type: none"> • Natural disaster prevention/resilience • Chemical waste management • Climate change • Smart cities 	<ul style="list-style-type: none"> • Real-time water security monitoring • Treatment of poor water quality 	<ul style="list-style-type: none"> • Generic healthcare/traditional medicinal products • Medicinal herbs & plants database 	<ul style="list-style-type: none"> • Advanced batteries • Products customization • Low-cost robot
<p>Advanced Materials, Artificial Intelligence, Augmented Reality, Big Data Analytics, Blockchain, Cloud Computing, Cybersecurity, Internet of Things, Sensor Technology, 3D/4D Printing, 5G</p>			

Appendix 2

List of Documents

Companies		Type of Documents	Mode of submission	Applicant	Collaborator (if applicable)
1	Signed MOSTI R&D Fund Form with declaration Witnessed by Commissioner of Oath	Hardcopy	Send to MOSTI	√	
2	Latest SSM Search (Corporate Information)	Softcopy	Upload in edana	√	√
3	EPF statement for company and local project team members	Softcopy	Upload in edana	√	√
4	Work permit for foreign team members / appointment letter	Softcopy	Upload in edana	√	√
5	Latest audited Financial Report (annual turnover, profit and loss statement, balance sheet, cash flow, etc)	Softcopy	Upload in edana	√	√
6	Contract Agreement/ Letter of Acceptance between Entity and Collaborator	Softcopy	Upload in edana	√	
7	Contract Agreement/ Letter of Acceptance between Entity and Consultants	Softcopy	Upload in edana	√	
8	Detailed Curriculum Vitae of project team	Softcopy	Upload in edana	√	√
9	IP/ Technology Acquisition Agreement (if applicable)	Softcopy	Upload in edana	√	
10	Insolvency Search (of company and all members in project team)	Softcopy	Upload in edana	√	√
11	Ethical approval (if applicable)	Softcopy	Upload in edana	√	
12	One quotation for each equipment listed to be purchased	Softcopy	Upload in edana	√	
13	Document on land approval or equivalent (if applicable)	Softcopy	Upload in edana	√	

Appendix 3

MOSTI R&D Fund business model

<p><u>Key Partners</u></p> <p>Who are our key partners? Who are our key supplier? What are we getting from them? Giving them?</p>	<p><u>Key Activities</u></p> <p>What key activities do we require? Manufacturing? Software? Supply Chain?</p>	<p><u>Value Propositions</u></p> <p>Which of our customer's problem are we helping to solve? Which customer needs are we satisfying? What are the key features of our product that match customer problem/need?</p>	<p><u>Customer Relationships</u></p> <p>How will we get, keep and grow customers?</p>	<p><u>Customer Segments</u></p> <p>Who are our most important customers? What are their archetypes? What job do they want us to get done for them?</p>
<p><u>Key Resources</u></p> <p>What key resources we require? Financial, Physical, IP, HR?</p>		<p><u>Channels</u></p> <p>Through which channels do our customer segments want to be reached?</p>		
<p><u>Cost Structure</u></p> <p>What are the most important cost inherent in our business model?</p>			<p><u>Revenue Streams</u></p> <p>How do we make money? What's the revenue model? Pricing tactics?</p>	

Appendix 4

INFORMATION ON USE OF BIOLOGICAL RESOURCES AND ASSOCIATED TRADITIONAL KNOWLEDGE (IF RELATED)

1. Were biological resources or traditional knowledge associated with biological resources or information relating thereto utilised in the invention applied for.

Yes No

2. If yes, provide details:

- i. Country :
- ii. State :
- iii. Permit date :
- iv. Benefit sharing agreement date:

A certified copy of the permit accompanies this form.

3. Date of notification of this application for a patent to the National Competent Authority under the Access to Biological Resources and Benefit Sharing Act 2016.

A certified true copy of the receipt of the notification accompanies this form.